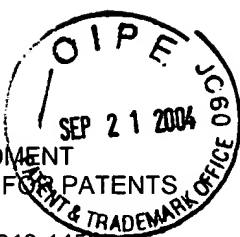


Mail Stop: AMENDMENT  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450



Docket No.: 134.1012  
 Date: September 13, 2004

In re application of: **Manfred DICK, et al.**  
 Serial No.: 09/807,227  
 Filed: July 2, 2001  
 For: **METHOD AND DEVICE FOR PERFORMING ONLINE ABERROMETRIE IN REFRACTIVE EYE CORRECTION INDICES**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (2 pages) with PTO-1449 (3 pages) and copies of cited references** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☒ No fee for additional claims is required.  
☐ A filing fee for additional claims calculated as shown below, is required:

(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
FOR:	REMAINING	HIGHEST		RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	PRESENT					
	AMENDMENT	PAID FOR	EXTRA					
TOTAL CLAIMS	* MINUS	20	=	0	x \$ 9	\$	x \$ 18	\$
INDEP. CLAIMS	* MINUS	3	=	0	x \$ 43	\$	x \$ 86	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$145	\$	+ \$290	\$
TOTAL:				\$		OR	TOTAL:	\$

- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☐ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136  
☐ Other:
- ☒ Check(s) in the amount of **\$180.00** is/are attached to cover:  
☐ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☒ Other: **IDS fee under 37 C.F.R. 1.17(p)**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

William C. Gehris, Reg. No. 38,156  
 (signing for Thomas P. Canty, Reg. No. 44,586)  
 DAVIDSON, DAVIDSON & KAPPEL, LLC  
 485 Seventh Avenue, 14<sup>th</sup> Floor  
 New York, New York 10018  
 Tel: (212) 736-1940  
 Fax: (212) 736-2427

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on September 13, 2004.  
 DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:   
 Oliver Platz



134.1012

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Serial No.: 09/807,227  
Application of: Manfred DICK, et al.  
Filed: July 2, 2001  
For: METHOD AND DEVICE FOR PERFORMING ONLINE  
ABERROMETRIE IN RETRACTIVE EYE CORRECTION  
INDICES  
Examiner: George C. MANUEL  
Art Unit: 3762

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 13, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith some or all may not qualify as prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (c)(2).

Herewith respectfully submitted is a check in the amount of \$180.00 in accordance with 37 C.F.R. § 1.17 (p).

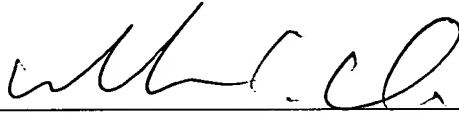
In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

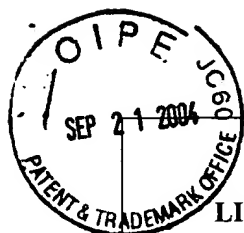
Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By: \_\_\_\_\_

  
William C. Gehris, Reg. No. 38,156  
(signing for Thomas P. Canty, Reg. No. 44,586)

DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14th Floor  
New York, New York 10018  
(212) 736-1940



## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.

134.1012

APPLICATION NO

09/807,227

APPLICANT

Manfred DICK, et al.

FILING DATE

07/02/2001

GROUP

3762

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	2001 0041884	Nov. 15, 01	FREY et al.	606	5	
	A02	2002 0013576	Jan. 31, 02	GRAY et al.	606	5	
	A03	2002 0016629	Feb. 7, 02	SANDSTEDT et al.	623	6.11	
	A04	2002 0041359	Apr. 11, 02	MIHASHI et al.	351	221	
	A05	2002 0097376	Jul. 25, 02	APPLEGATE et al.	351	205	
	A06	2003 0025874	Feb. 6, 03	WILLIAMS et al.	351	200	
	A07	2003 0086063	May 8, 03	WILLIAMS et al.	351	221	
	A08	2004 0059321	Mar. 25, 04	KNOPP et al.	606	10	
	A09	2004 0059398	Mar. 25, 04	YEE et al.	607	89	
	A10	2004 0060903	Apr. 1, 04	NEAL et al.	216	41	
	A11	2004 0088050	May 6, 04	NORRBY et al.	623	6.11	
	A12	2004 0130677	Jul. 8, 04	LIANG et al.	351	205	
	A13	5 493 391	Feb. 20, 96	NEAL et al.	356	121	
	A14	5 629 765	May 13, 97	SCHMUTZ	356	121	
	A15	5 777 719	Jul. 7, 98	WILLIAMS et al.	351	212	
	A16	5 864 381	Jan. 26, 99	NEAL et al.	351	205	
	A17	5 920 373	Jul. 6, 99	BILLE	351	212	
	A18	5 929 970	Jul. 27, 99	MIHASHI	351	205	
	A19	5 936 720	Aug. 10, 99	NEAL et al.	356	121	
	A20	5 949 521	Sep. 7, 99	WILLIAMS et al.	351	246	
	A21	6 007 204	Dec. 28, 99	FAHRENKRUG et al.	351	221	
	A22	6 042 233	Mar. 28, 00	MIHASHI et al.	351	221	
	A23	6 052 180	Apr. 18, 00	NEAL et al.	356	121	
	A24	6 095 651	Aug. 1, 00	WILLIAMS et al.	351	246	
	A25	6 130 419	Oct. 10, 00	NEAL	250	201.9	

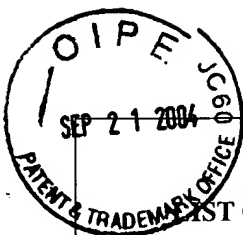
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A26	98 27863	Jul. 2, 98	WO - WIPO			In English	
	A27	00 10448	Mar. 2, 00	WO - WIPO			In English	
	A28	00 45759	Aug. 10, 00	WO - WIPO			Corresponds to US 6,530,917	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B01	6 184 974	Feb. 6, 01	NEAL et al.	356	121	
	B02	6 199 986	Mar. 13, 01	WILLIAMS et al.	351	221	
	B03	6 220 707	Apr. 24, 01	BILLE	351	212	
	B04	6 234 631	May 22, 01	SARVER et al.	351	212	
	B05	6 264 328	Jul. 24, 01	WILLIAMS et al.	351	221	
	B06	6 270 221	Aug. 7, 01	LIANG et al.	351	221	
	B07	6 271 915	Aug. 7, 01	FREY et al.	356	124	
	B08	6 273 566	Aug. 14, 01	KOBAYASHI et al.	351	221	
	B09	6 299 311	Oct. 9, 01	WILLIAMS et al.	351	221	
	B10	6 305 802	Oct. 23, 01	ROFFMANN et al.	351	212	
	B11	6 338 559	Jan. 15, 02	WILLIAMS et al.	351	212	
	B12	6 376 819	Apr. 23, 02	NEAL et al.	250	201.9	
	B13	6 382 793	May 7, 02	LAI et al.	351	206	
	B14	6 382 795	May 7, 02	LAI	351	212	
	B15	6 409 344	Jun. 25, 04	HAYASHI	351	208	
	B16	6 428 168	Aug. 6, 02	SARVER et.	351	212	
	B17	6 451 008	Sep. 17, 02	FREY et al.	606	10	
	B18	6 452 145	Sep. 17, 02	GRAVES et al.	250	201.9	
	B19	6 460 997	Oct. 8, 02	FREY et al.	351	211	
	B20	6 497 483	Dec. 24, 02	FREY et al.	351	212	
	B21	6 525 883	Feb. 25, 03	HIROHARA et al.	359	618	

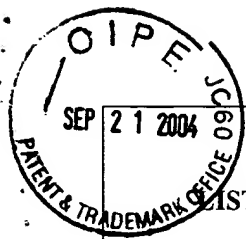
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	B22	01 02822	Jan. 11, 01	WO - WIPO			In English	
	B23	01 28410	Apr. 26, 01	WO - WIPO			In English	
	B24	01 28476	Apr. 26, 01	WO - WIPO			In English	
	B25	01 28478	Apr. 26, 01	WO - WIPO			In English	
	B26	01 78584	Oct. 25, 01	WO - WIPO			In English	
	B27	01 82228	Nov. 1, 01	WO - WIPO			In English	
	B28	01 85016	Nov. 15, 01	WO - WIPO			In English	

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	C01	6 530 917	Mar. 11, 03	SEILER et al.	606	5	
	C02	6 536 900	Mar. 25, 03	MIHASHI et al.	351	221	
	C03	6 540 692	Apr. 1, 03	MIHASHI et al.	600	558	
	C04	6 547 395	Apr. 15, 03	NEAL et al.	351	246	
	C05	6 565 209	May 20, 03	CAMPIN	351	212	
	C06	6 565 210	May 20, 03	KOBAYASHI et al.	351	214	
	C07	6 569 154	May 27, 03	CAMPIN et al.	606	5	
	C08	6 578 963	Jun. 17, 03	PETTIT	351	212	
	C09	6 598 973	Jul. 29, 03	CAMPIN	351	221	
	C10	6 629 761	Oct. 7, 03	HIROHARA et al.	351	221	
	C11	6 717 661	Apr. 6, 04	BERNSTEIN et al.	356	121	
	C12	6 722 767	Apr. 20, 02	DICK et al.	351	211	
	C13	6 733 132	May 11, 04	SHEVLIN	351	243	
	C14	6 750 957	Jun. 15, 04	LEVECQ et al.	356	121	
	C15	6 755 818	Jun. 29, 04	SHIMMICK et al.	606	5	
	C16	6 755 819	Jun. 29, 04	WAELEI	606	5	
	C17						
	C18						
	C19						
	C20						
	C21						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	C22	01 92838	Dec. 6, 01	WO - WIPO			In English	
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	C24							
	C25							
	C26							
	C27							

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